


# Confluence up to Garbage

## 13th International Conference on Graph Transformation

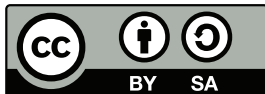
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# Motivating Question

When does a graph grammar admit a deterministic algorithm for deciding membership?

# Graph Languages

Setting: DPO graph transformation with:

- all rules and matches are injective;
- systems with finitely many rules.

## Definition (Graph Grammar)

Given a GT system  $T = (\Sigma, \mathcal{R})$ , a subalphabet of *non-terminals*  $\mathcal{N}$ , and a *start graph*  $S$  over  $\Sigma$ , then a grammar is a tuple  $(\Sigma, \mathcal{N}, \mathcal{R}, S)$ .

$$L(\mathcal{G}) = \{G \mid S \Rightarrow_{\mathcal{R}}^* G, G \text{ terminally labelled}\}.$$

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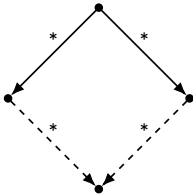
- $G \Rightarrow_r H$  if and only if  $H \Rightarrow_{r^{-1}} G$ , for some  $r \in \mathcal{R}$ .
- $G \in L(\mathcal{G})$  if and only if  $G \Rightarrow_{\mathcal{R}^{-1}}^* S$  and  $G$  is terminally labelled.
- If  $\mathcal{R}^{-1}$  terminates, then we have a non-deterministic membership checking algorithm.

# Example: Recognising Trees

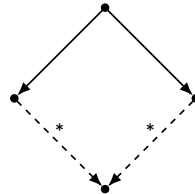
Starting from a single node, the following DPO rule can be used to generate the language of trees:



The reversed system is confluent and terminating, so no backtracking is required to check if a graph is a tree.



(a) Confluence



(b) Local Confluence

# Results about Confluence

## Theorem (Plump (1998) and Plump (2005))

It is undecidable, in general:

- whether a GT system is terminating;
- whether a terminating GT system is confluent.

## Lemma (Plump (2005))

A GT system is locally confluent if (but not only if) all its critical pairs are strongly joinable.

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## Lemma (Plump (2005))

A GT system is locally confluent if (but not only if) all its critical pairs are strongly joinable.

## Lemma (Newman (1942))

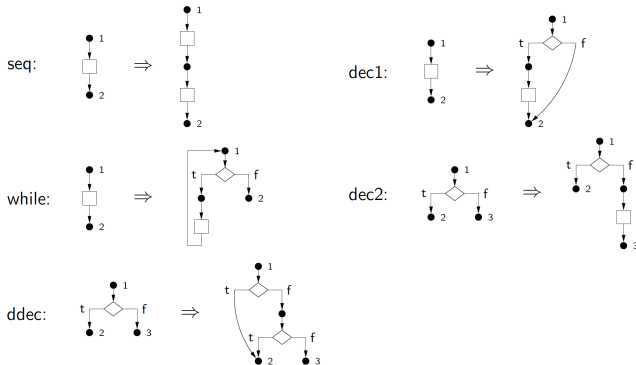
In the presence of termination, confluence and local confluence coincide.

Given termination, we may be able to automatically detect confluence.

# Example: Extended Flow Diagrams I

The language of EFDs is a is contained in the language semi-structured flow graphs (Farrow, Kennedy, and Zucconi 1976; Plump 2005).

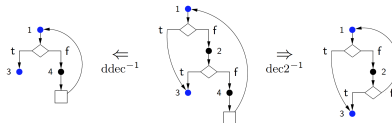
The language is generated by:





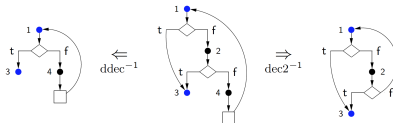
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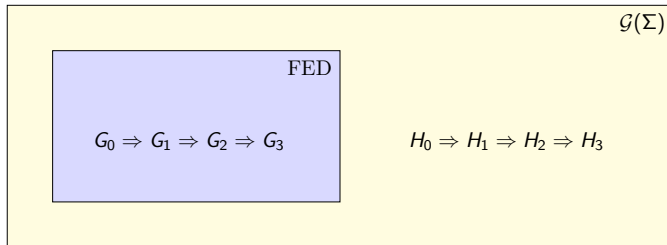


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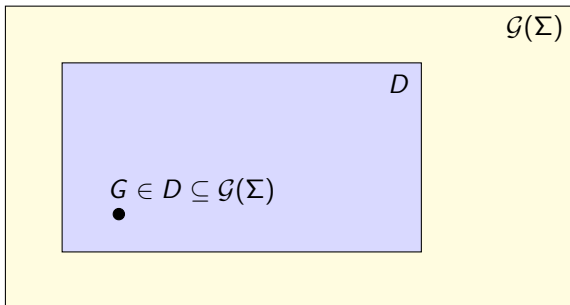


It will turn out that we still have deterministic membership checking:



# Confluence up to Garbage

Confluence up to garbage only considers start graphs in some language  $D$ .



## Theorem

Given a grammar  $\mathcal{G}$  with rules  $\mathcal{R}$ , termination and confluence up to garbage of  $\mathcal{R}^{-1}$  give us deterministic membership checking for  $L(\mathcal{G})$ .

# Non-Garbage Critical Pairs

## Definition (Critical Pair)

A critical pair of  $(\Sigma, \mathcal{R})$  is a pair of parallelly dependent direct derivations  $H_1 \leftarrow_{r_1, g_1} G \Rightarrow_{r_2, g_2} H_2$  such that  $G = g_1(L_1) \cup g_2(L_2)$  and if  $r_1 = r_2$  then  $g_1 \neq g_2$ .

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## Definition (Non-Garbage Critical Pair)

Let  $T = (\Sigma, \mathcal{R})$  and  $\mathcal{D} \subseteq \mathcal{G}(\Sigma)$ . A  $\mathcal{D}$ -non-garbage critical pair of  $T$  is a critical pair  $H_1 \leftarrow_{r_1, g_1} G \Rightarrow_{r_2, g_2} H_2$  such that  $G$  is in  $\widehat{\mathcal{D}}$ , the subgraph closure of  $\mathcal{D}$ .

If  $\mathcal{D}$  has decidable subgraph closure, then we can effectively enumerate the (finitely many) non-garbage critical pairs.

# Generalised Critical Pair Lemma I

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## Theorem (Generalised Critical Pair Lemma)

Let  $T = (\Sigma, \mathcal{R})$  and  $\mathcal{D} \subseteq \mathcal{G}(\Sigma)$ . If all  $T$ 's  $\mathcal{D}$ -non-garbage critical pairs are strongly joinable, then  $T$  is locally confluent on  $\mathcal{D}$ .

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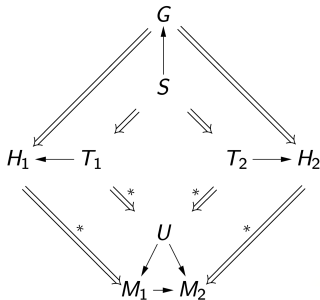
Proof sketch: We need to show that every pair of derivations  $H_1 \leftarrow_{r_1, g_1} G \Rightarrow_{r_2, g_2} H_2$  such that  $G$  is non-garbage can be joined.

*Case 1:* If parallelly independent, then done by commutativity (Ehrig and Kreowski 1976).



# Generalised Critical Pair Lemma II

Case 2: The Clipping Theorem (Kreowski 1977) tells us how to factor out a minimal derivation  $T_1 \leftarrow S \Rightarrow T_2$ . This must be a critical pair, and  $G \in \mathcal{D}$ , then  $S \in \widehat{\mathcal{D}}$ , so the critical pair is non-garbage. Non-garbage pairs are strongly joinable (by assumption), so we can use the Embedding Theorem (Ehrig 1977) to get our result.

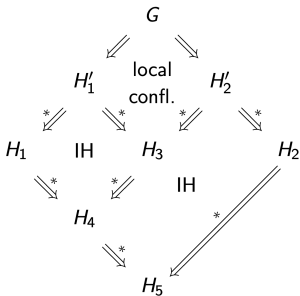


# Generalised Newman's Lemma

## Lemma (Generalised Newman's Lemma)

Let  $T = (\Sigma, \mathcal{R})$  and  $\mathcal{D} \subseteq \mathcal{G}(\Sigma)$ . If  $T$  is terminating on  $\mathcal{D}$  and  $\mathcal{D}$  is closed under  $T$ , then  $T$  is confluent on  $\mathcal{D}$  if and only if is locally confluent on  $\mathcal{D}$ .

Proof sketch: Noetherian induction.



# Checking for Confluence up to Garbage

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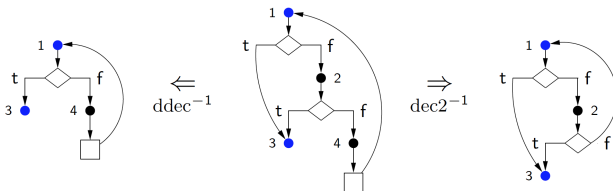
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Process:

- 1 Establish that  $T$  is terminating on  $\mathcal{D}$  and  $\mathcal{D}$  is closed under  $T$ . If closedness cannot be established, restart with a superset of  $\mathcal{D}$ .
- 2 Generate the finitely many non-garbage critical pairs of  $T$ .
- 3 Check if each generated pair is strongly joinable:
  - All pairs are strongly joinable: confluence on  $\mathcal{D}$ ;
  - One of the pairs is not joinable: a direct counter example;
  - All pairs are joinable, but not all strongly: inconclusive.

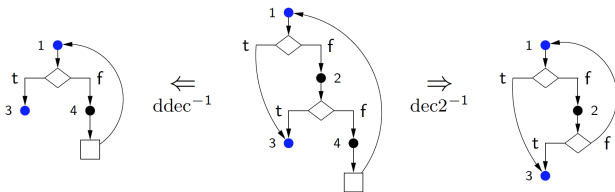
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Recall we had a non-joinable pair:



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Recall we had a non-joinable pair:



- The start graph of the pair cannot be embedded in an EFD.
- The pair is garbage, and all others are strongly joinable.
- We have termination and confluence up to garbage.
- Thus, we have deterministic recognition.

# Example: Series Parallel Graphs I

Series-parallel graphs were introduced by Duffin (1965). It is the smallest class of graphs closed under *parallel* and *sequential* composition, containing  $P = \underset{s}{\bullet} \rightarrow \underset{t}{\bullet}$ , where  $s$  is the *source* and  $t$  the *sink*.

*Parallel* composition identifies the two *sources* and *sinks*, and *sequential* composition identifies the *sink* of one with the *source* of another.

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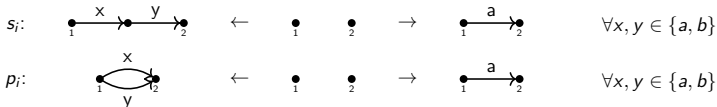
Duffin showed that a graph is series-parallel if and only if it can be generated from  $P$  by the DPO rules:



The reversed rules are confluent and terminating, however introducing labels to the edges breaks confluence (Hristakiev and Plump 2018), but we can still establish confluence up to garbage...

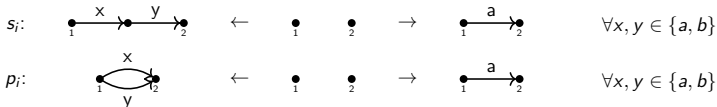
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Reduction rules for the language of labelled series-parallel graphs:

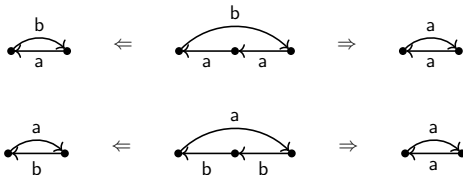


# Example: Series Parallel Graphs II

Reduction rules for the language of labelled series-parallel graphs:



All critical pairs are strongly joinable other than the garbage ones:



We have termination and confluence up to garbage, so deterministic recognition, as before.

# Generalising The Results

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- The Generalised Newman's Lemma works for any ARS.
- The Generalised Critical Pair Lemma works:
  - for (labelled) hypergraphs too, and we can relax injectivity of rules such that  $K \rightarrow R$  need not be injective;
  - for any adhesive system with the usual restrictions, though the proof is a little different (Campbell 2019);
  - in the setting of (hyper)graph transformation with node relabelling (with root nodes) (not  $(\mathcal{M})$ -adhesive!) (Campbell and Plump 2019).

## Related and Future Work

- Usefulness of classification of non-garbage conflicts (Lambers, Born, Orejas, Strüber, and Taentzer 2018; Lambers, Kosiol, Strüber, and Taentzer 2019)?

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- Connection to confluence modulo garbage (work in progress).

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