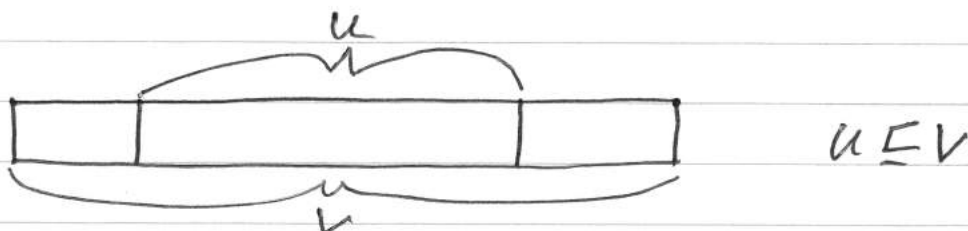


### Definition 4: Example

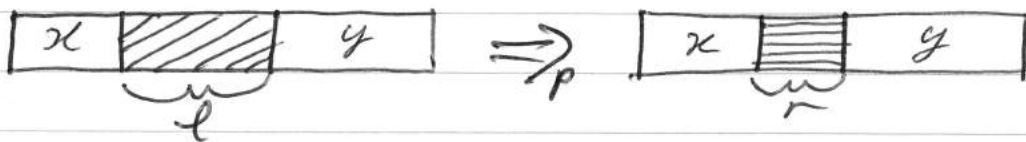


If  $v = abc$ , then all the substrings are:  
 $\epsilon, a, b, c, ab, bc, abc$ .

### Definition 5: Example

$(abc, aaaaa)$  is a rule over  $\{a, b, c\}$ , and we often write it as  $abc \rightarrow aaaaa$ .

### Definition 6: Example



If  $p = ab \rightarrow c$ , and  $w = abaab$ , then there are exactly two ways to apply  $p$ :

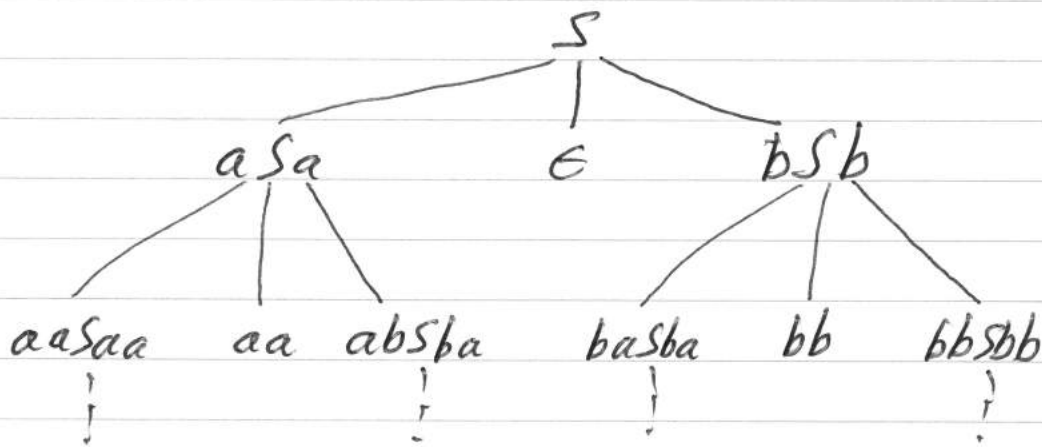
$$w \Rightarrow_p caab, \quad w \Rightarrow_p abac.$$

## Example 11: Extended

Consider the grammar:

$$G = (\{a, b\}, \{S\}, \{S \rightarrow aSa, S \rightarrow bSb, S \rightarrow \epsilon\}, S).$$

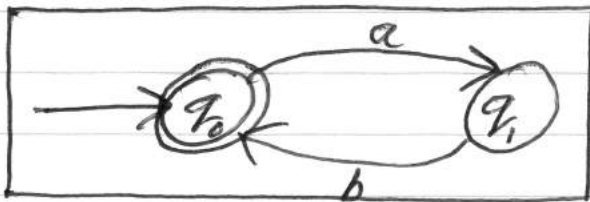
What can be derived from  $S$ ?



$L_1(G) =$  the palindromes over  $\{a, b\}$ .

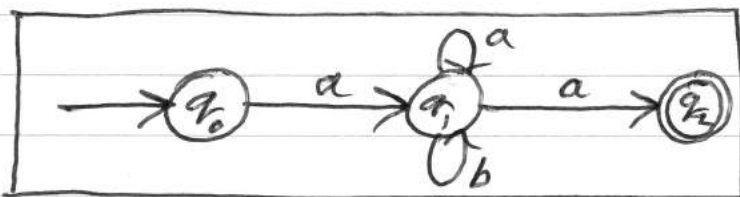
Non-terminals really do add generational power, since  $L_1(G)$  can't be generated by a non-terminal free ( $N = \emptyset$ ) grammar.

Definition 20-22: Example



deterministic

$$L = \{ (ab)^n \mid n \in \mathbb{N} \}$$

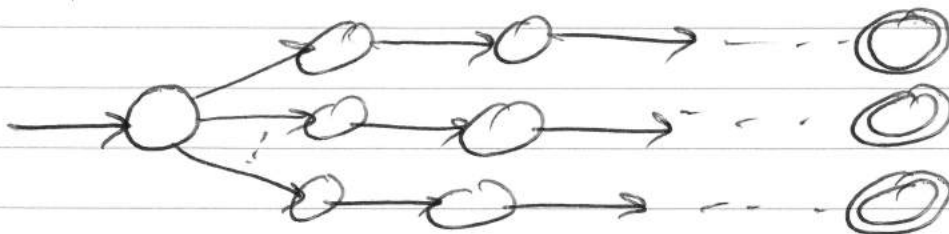


non-deterministic

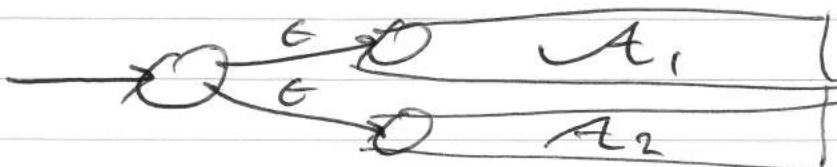
$$L = a\{a,b\}^*a \quad \leftarrow \text{all strings ending and starting with an } a.$$

Proposition 24: Example

If  $L$  is a finite language, we can build an FSA  $A$  s.t.  $L(A) = L$ !



Given  $A_1, A_2$ , we can build  $A$  s.t.  $L(A) = L(A_1) \cup L(A_2)$ :



## Proposition 27: Example

Consider the grammar from Example 26:

$$G = (\{a, b\}, \{S, B\}, \mathcal{R}, S)$$

where the rules in  $\mathcal{R}$  are:

$$S \rightarrow aS$$

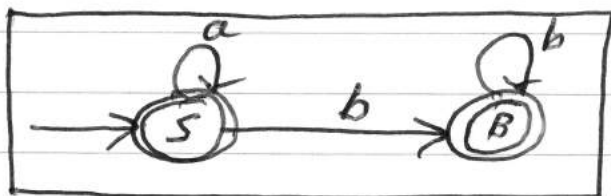
$$S \rightarrow bB$$

$$S \rightarrow \epsilon$$

$$B \rightarrow bB$$

$$B \rightarrow \epsilon$$

Then we have:



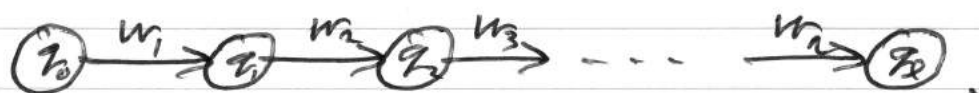
## Theorem 28: Proof

Let  $L \subseteq \Sigma_1^*$  be a regular language. Then there exists some  $n \in \mathbb{N}^+$  such that for every  $w \in L$  with length at least  $n$ , we can decompose  $w$  into three strings ( $w = xyz$ ) such that:

- (1)  $y$  has length at least 1;
- (2)  $xy$  has length at most  $n$ ;
- (3) for all  $k \in \mathbb{N}$ ,  $xy^kz \in L$ .

Proof: By Theorem 16, there must be a DFA,  $A$ , s.t.  $L(A) = L$ . Set  $n := |Q_A|$  and choose some  $w = w_1 \dots w_l \in L$  s.t.  $l \geq n$ .

There must be a transition sequence from an initial state  $q_0$  to an accepting state  $q_f$ : ~~such that~~



But then by the pigeonhole principle, some state must be repeated in the list  $q_0, \dots, q_l$  since  $l \geq |Q_A|$ . So we must have a cycle:



Which means we can "pump" around this cycle to accept  $w_1 w_2 \dots w_i (w_{i+1} \dots w_k)^i w_{k+1} \dots w_l$  for all  $i \in \mathbb{N}$ . □

## Example 29: Proof

The language  $L = \{a^n b^n \mid n \in \mathbb{N}\} \subseteq \{a, b\}^*$  is not regular.

Proof: By the pumping lemma.

Let  $m$  be the constant that exists by the pumping lemma and choose  $w = a^m b^m$ . Then we must be able to decompose  $w$  into  $xyz$  where  $x = a^\alpha$ ,  $y = a^\beta$ ,  $z = a^\gamma b^m$  where  $\beta \geq 1$  and  $\alpha + \beta + \gamma = m$ .

But then by the pumping lemma  $xz$  must be in  $L$  too, but  $xz = a^{\alpha+\gamma} b^m$ , and we know that  $\alpha + \gamma \neq m$ ... a contradiction.

So,  $L$  is not a regular language.  $\square$

## Theorem 37: Proof

A group has a presentation with a regular word problem iff it is finite.

Proof: This was first shown by Anisimov (1971), but we follow the simpler proof of Muller and Schupp (1983).

$\Leftarrow$  Let  $G = \{g_1, \dots, g_n\}$  be a finite group with  $g_1$  the identity. Then the multiplication table gives us the obvious presentation:

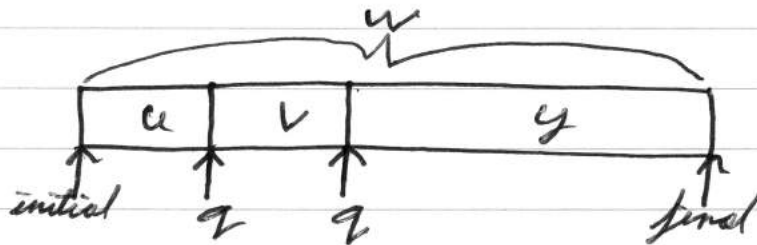
$$\langle g_1, \dots, g_n \mid \dots, g_i g_j = g_k, \dots \rangle.$$

Define the FSA  $A = (G, G, \delta, g_1, \{g_1\})$  where  $(g_i, g_j, g_k) \in \delta \Leftrightarrow g_i g_j = g_k$ . Clearly  $A$  finishes in state  $g_1$  iff the input string is equal to  $g_1$  in the group.

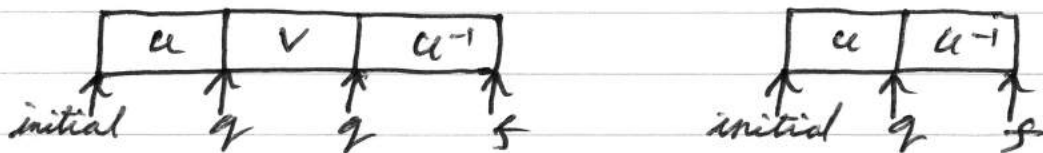
$\Rightarrow$  First, consider the finite presentation  $\langle X \mid R \rangle$  of an infinite group. There must be arbitrarily long strings over  $X$  such that no non-empty substring is equal to the identity in the group. For if there were an upper bound  $B$  on the length of such ~~words~~<sup>strings</sup>, every element of the group could be represented by a string of length not exceeding  $B$ , since  $X$  is finite. But then we have that the group is only finite: a contradiction.

Now, let  $A$  be an DFA with input alphabet  $X$ , and let  $w \in X^*$  be a string whose length is greater than the number of states in  $A$  and such that no non-empty substring of  $w$  is equal to the identity in the group.

If  $A$  begins reading  $w$ , then it must be in the same state, say  $q$ , after reading two distinct initial segments, due to the pigeonhole principle, say  $u$  and  $uv$  of  $w$ :



Now,  $uu^{-1}$  is certainly equal to the identity in the group, and  $uvu^{-1}$  is not, since it is a conjugate of  $v$  which is not equal to the identity in the group.



But  $A$  finishes in the same state,  $f$ , after reading  $uvu^{-1}$  or  $uu^{-1}$ , but only one of them is in the word problem. So ~~not~~ DFA can solve the word problem for a presentation of an infinite group.  $\square$



## Definition 38: Examples

Let  $\Sigma = \{a, b\}$ . In Example 11, we saw a grammar for the palindromes over  $\Sigma$ . It was context-free:

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

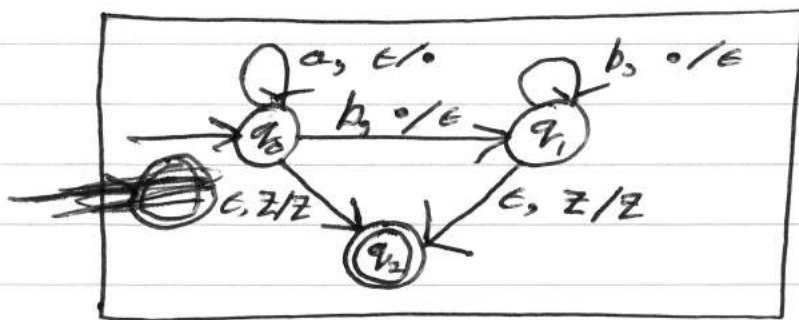
$$S \rightarrow \epsilon.$$

In Example 29, we saw that  $\{a^n b^n \mid n \in \mathbb{N}\}$  was not regular. It is context-free:

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

A pushdown automaton for this language is:



where the stack initially contains only the symbol  $Z$ . An example "run":

$$\begin{aligned} (aabb, q_0, Z) &\vdash (abb, q_0, Z \cdot) \\ &\vdash (bb, q_0, Z \cdot \cdot) \\ &\vdash (b, q_1, Z \cdot) \\ &\vdash (\epsilon, q_1, Z) \\ &\vdash (\epsilon, q_2, Z) \end{aligned}$$



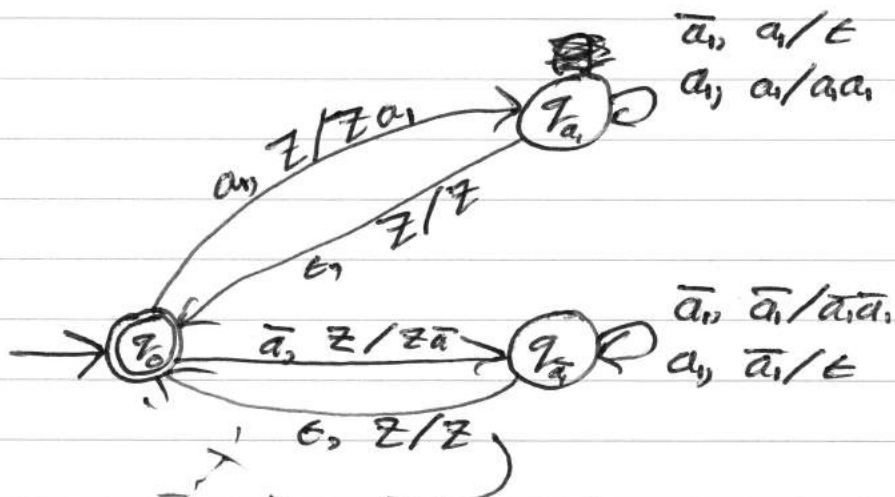
### Example 41: Extended

Let  $X_n = \{a_i, \bar{a}_i \mid i \in \{1, \dots, n\}\}$  and  $R_n = \{a_i \bar{a}_i \rightarrow \epsilon, \bar{a}_i a_i \rightarrow \epsilon \mid i \in \{1, \dots, n\}\}$ . Then:

$$X_n^* / R_n \cong F_n,$$

for all  $n \geq 1$ .

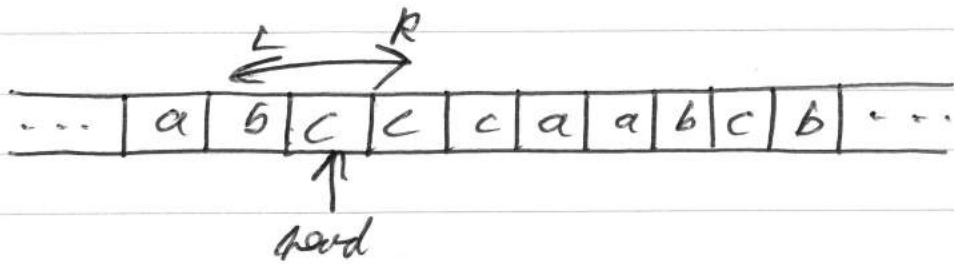
Then the following pushdown automaton recognises the word problem of  $F_n$ , for some fixed  $n \geq 1$ :



repeat the same pattern for the remaining  $a_2, \dots, a_n$ .

where  $Z$  is the special bottom of the stack symbol.

### Definition 43: Picture



### Theorem 44: Proof

Let  $SA$  be the language of encoded TMs that accept themselves. Then  $SA$  is r.e. but not recursive.

Proof: If  $SA$  were recursive, then  $\overline{SA}$  must be too, but  $\overline{SA}$  is not even r.e. To see this suppose  $A$  is a TM st.  $L(A) = \overline{SA}$ , and consider the code  $e(A)$ . Either  $e(A)$  is in  $L(A)$  or not. But if  $e(A) \in L(A)$ , then it accepts itself  $\times$  and if  $e(A) \notin L(A)$  then it doesn't accept itself  $\times$ ! So  $\overline{SA}$  must not be r.e.

To see that  $SA$  is r.e. can be done by construction. Construct  $A$  st. first it checks if the input  $w$  is a valid encoding, and if it is execute the decoded machine on its encoding. Clearly  $L(A) = SA$ .  $\square$